

## Interpretable Machine Learning: Concepts and Techniques

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## Human-Centric Machine Learning



How to enable *interpretable* and *Interactive* machine learning?



How to enable *automated* knowledge discovery and learning?

Interpretable Machine Learning (IML)

**>>** 

(AutoML)

Automated Machine Learning

Provide explanations for human to <u>easily understand</u> the system

Provide convenience for human to *easily build* the system

## OUTLINE

- 1 Introduction to Interpretable Machine Learning
- 2 Interpretable Deep Learning
- 3 Evaluation of Interpretation

## 4 Applications To Four Domains

- Explaining CNN for Image Classification
- Explaining Recommender System
- Explaining Outlier Detection System
- Demo for Interpretable Fake News Detection

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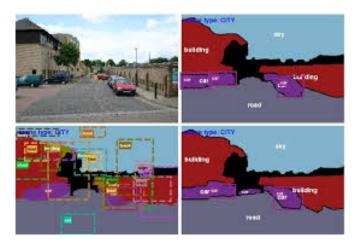
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## **Machine Learning is Everywhere**

### **Playing Go**



### **Scene Understanding**



### **Medical Diagnosis**



### **Voice Recognition**



## **Machine Learning is Everywhere**

### **Playing Go**







## What have been learned inside the models?

Stelle Ollderstalluling

voice Recognition





## Why Interpretable Machine Learning



### Safety of AI Models

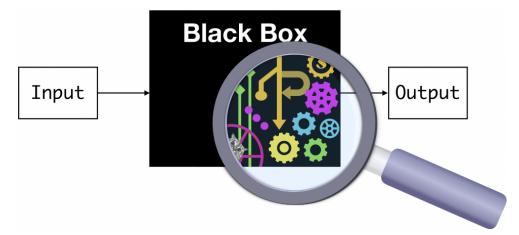


### **Trust of AI Decision**



### **Policy and Regulation**

## What is Interpretable Machine Learning

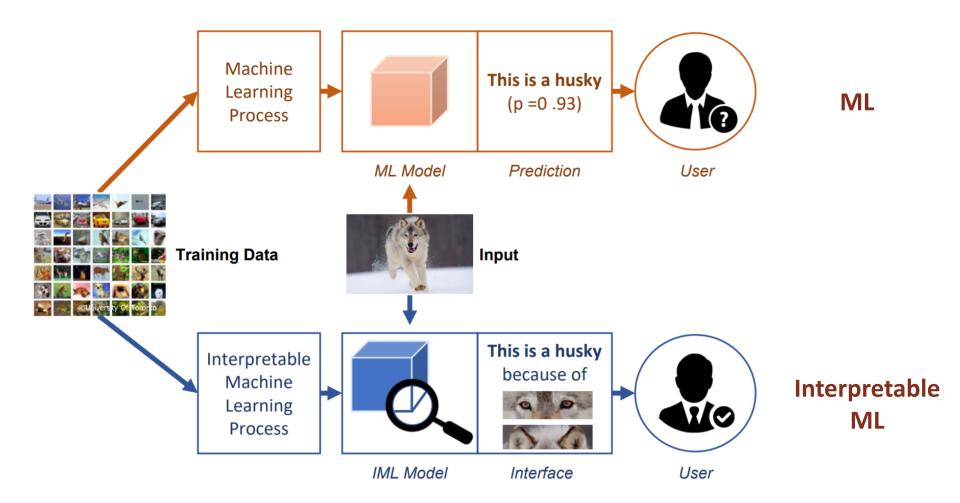


"Interpretable Machine Learning is the ability to explain or to present the behavior of a black-box ML model in understandable terms to a human" [1]

"We define interpretable machine learning as the extraction of relevant knowledge from a machine-learning model concerning relationships either contained in data or learned by the model. Here, we view knowledge as being relevant if it provides insight for a particular **audience** into a **chosen problem**. These insights are often used to guide communication, actions, and discovery." [2]

[1] Bang, Seojin, et al. "Explaining a black-box using deep variational information bottleneck approach." arXiv preprint arXiv:1902.06918 (2019). [2] Murdock et al. "Interpretable machine learning: definitions, methods, and applications", PNAS 2019.

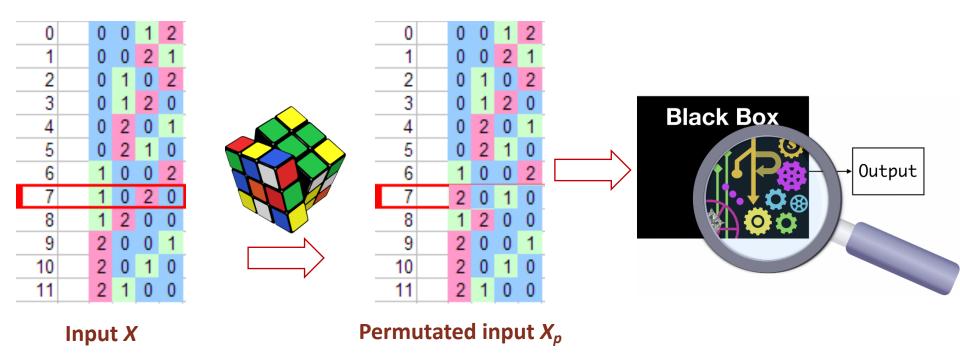
## What is Interpretable Machine Learning



## **Interpretable Machine Learning**

- Model-agnostic explanation
  - Broadly applicable to various machine learning models
  - Treating a model as a black-box
  - Does not inspect internal model parameters
- Model-specific explanation
  - Specifically designed for each model
  - Usually require examining internal structures and parameters

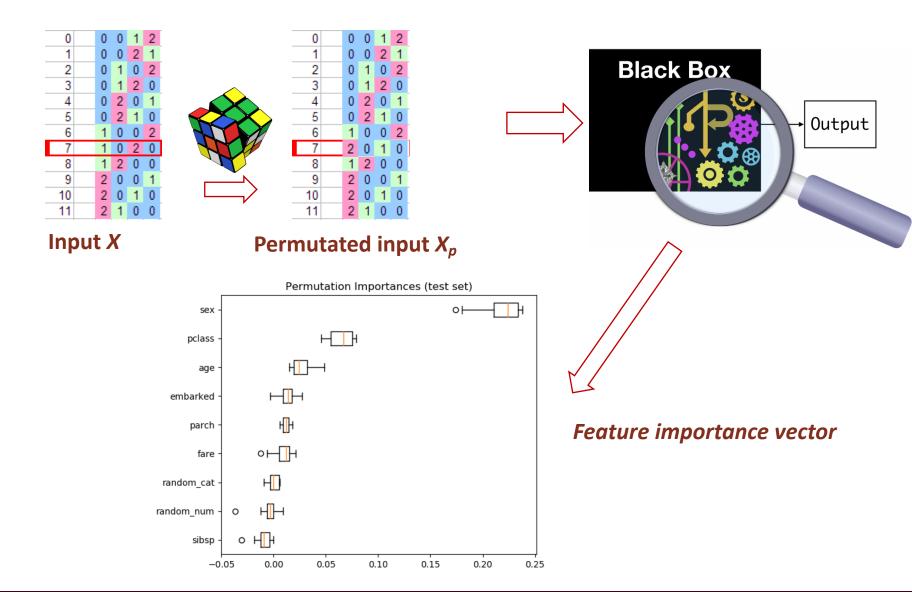
## Model-agnostic explanation (permutation-based)



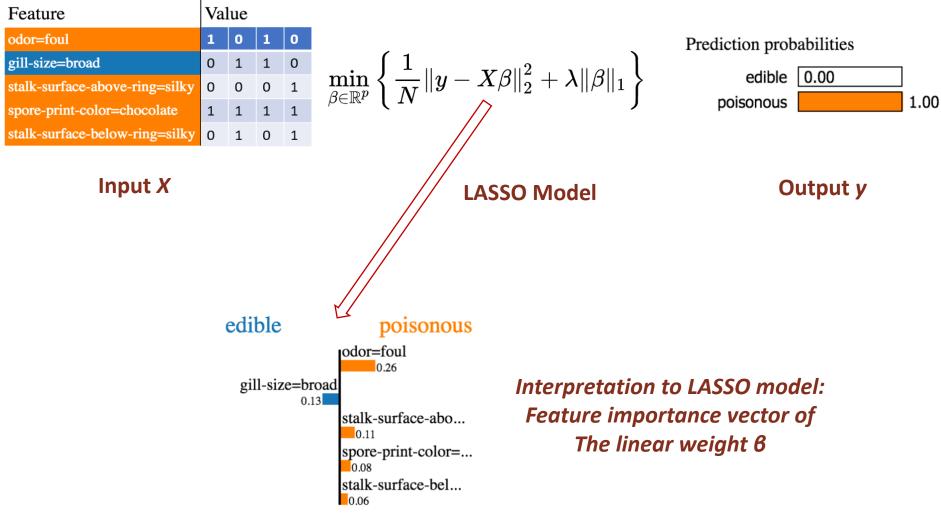
Permutation feature importance

- For each feature, do permutation, and then retrain the model
- Repeating this for n times for each feature, and compare the model accuracy
- Rank accuracy

## Model-agnostic explanation (permutation-based)



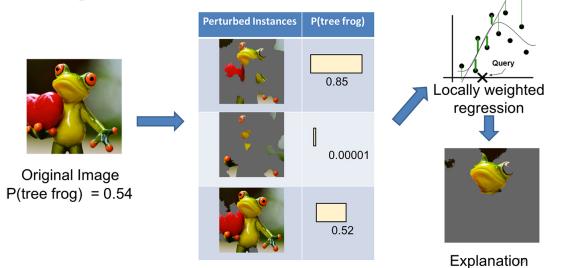
## **Model-specific explanation**



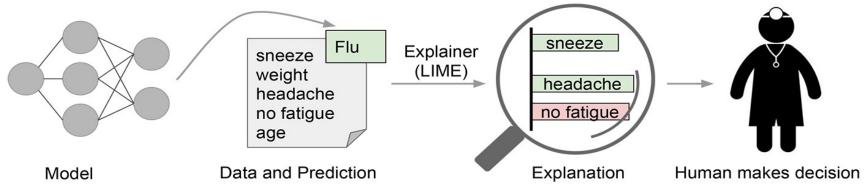
https://github.com/marcotcr/lime

## More Examples of IML

### 1 Image Classification







Ribeiro, Marco Tulio, Sameer Singh, and Carlos Guestrin. "" Why should i trust you?" Explaining the predictions of any classifier." KDD. 2016.

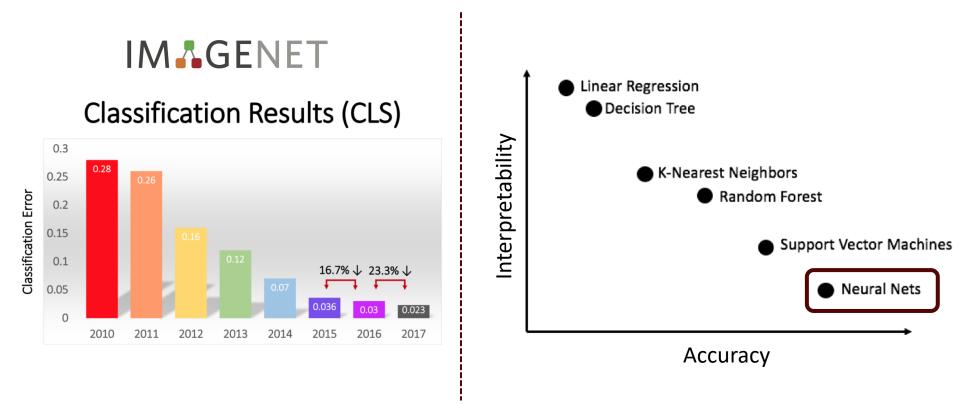
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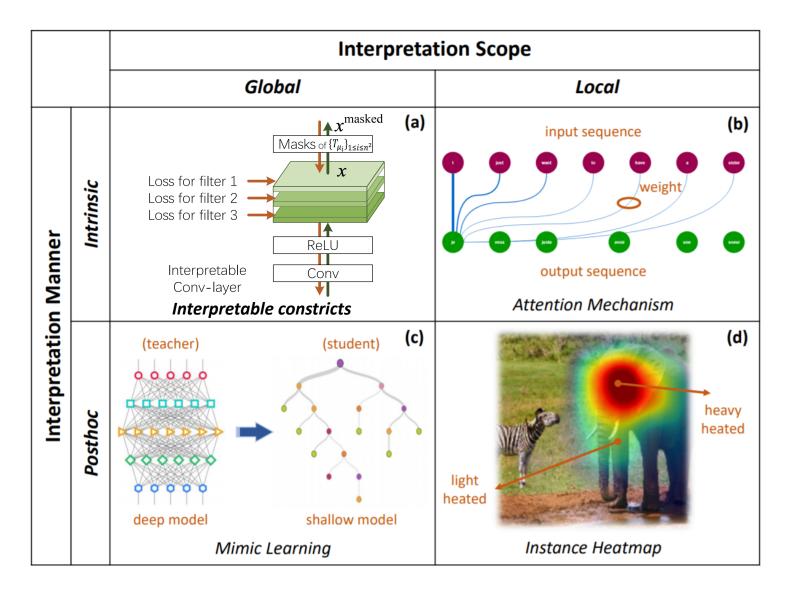
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## **Interpretable Deep Learning**



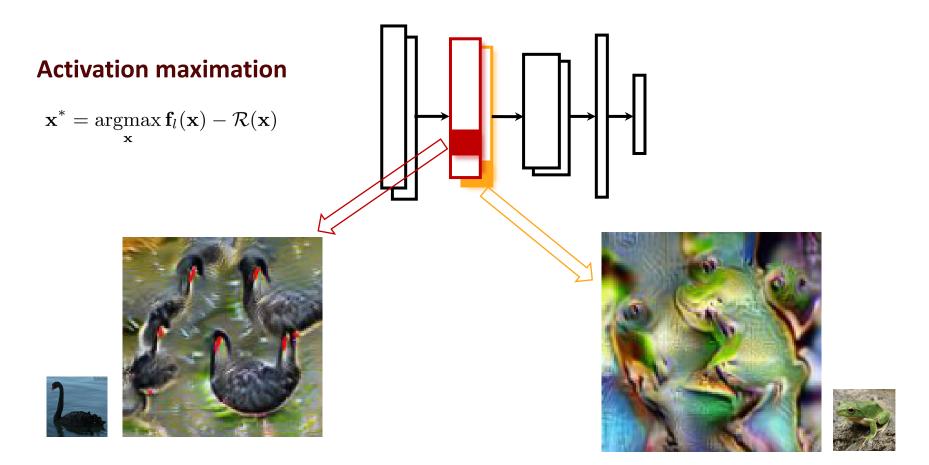
## DNNs make lots of *progresses* DNNs are regarded as *black boxes*

## **Interpretable Deep Learning Categorization**

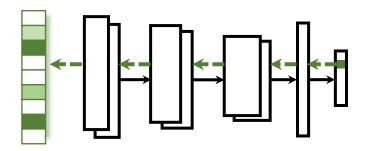


## **Post-hoc Explanation (Global)**

Giving a global understanding about what knowledge has been captured by a DNN model

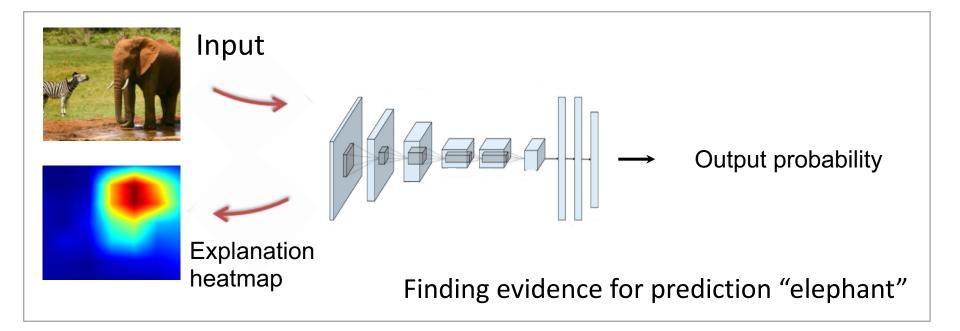


## **Post-hoc Explanation (Local)**



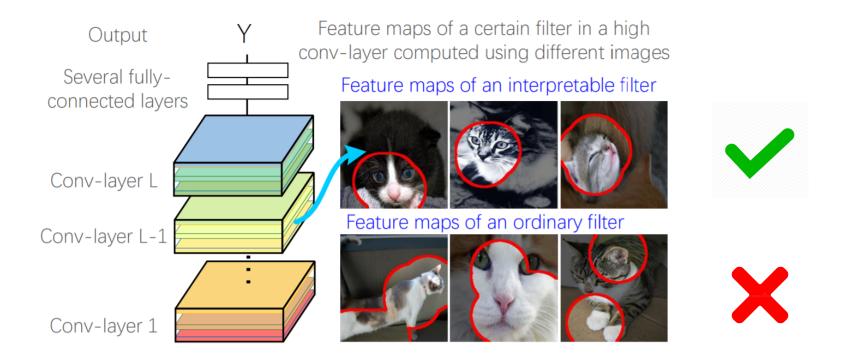
### **Post-hoc Local Interpretation**

- Given an input instance
- A pre-trained DNN
- Contribution score for each feature in input



## Intrinsic Interpretable Model (Global)

## Globally interpretable models that offer a certain extent of transparency about what is going on inside a model.

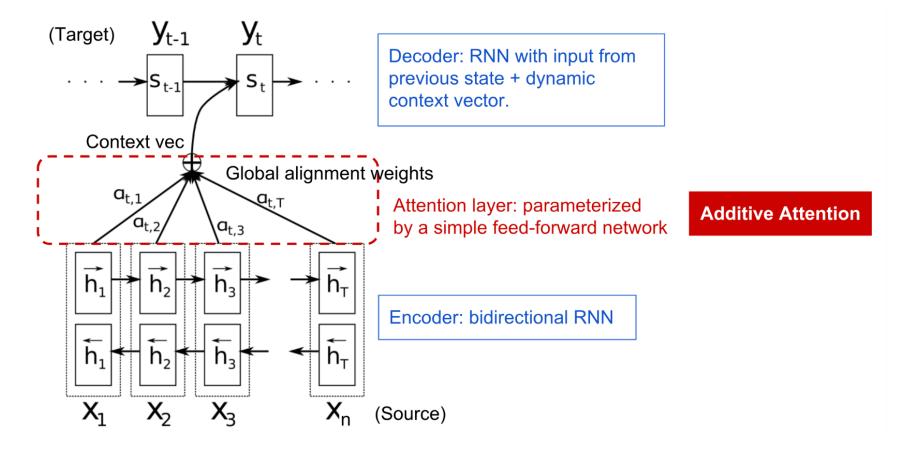


In interpretable CNN, each filer in high-layers represents a specific object part.

Zhang, Quanshi, Ying Nian Wu, and Song-Chun Zhu. "Interpretable convolutional neural networks." CVPR. 2018.

## Intrinsic Interpretable Model (Local)

Designing more justified model architectures that could explain why a specific decision is made



https://lilianweng.github.io/lil-log/2018/06/24/attention-attention.html

## Intrinsic Interpretable Model (Local)

## Designing more justified model architectures that could explain why a specific decision is made

by *ent423*, *ent261* correspondent updated 9:49 pm et ,thu march 19,2015 (*ent261*) a *ent114* was killed in a parachute accident in *ent45*, *ent85*, near *ent312*, a *ent119* official told *ent261* on wednesday .he was identified thursday as special warfare operator 3rd class *ent23*,29, of *ent187*, *ent265*.`` *ent23* distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life, and he leaves an inspiring legacy of natural tenacity and focused

Interpretation heatmap

### **Interpretation Visualization**

--- Contribution score for each feature in input--- Deeper color in the heatmap means higher contribution

Hermann, Karl Moritz, et al. "Teaching machines to read and comprehend." Advances in neural information processing systems. 2015.

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## **Evaluation for Interpretable Machine Learning**





Are the generated explanations *faithful* to the original model?

Are the generated explanations *friendly* to the human users?

Fidelity



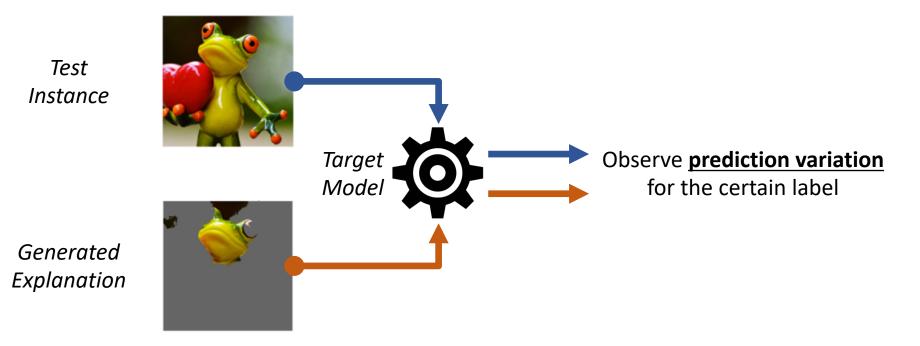
Ensure the explanations can *faithfully reflect* the model



Ensure the explanations can be *easily comprehended* by humans

## **Philosophy of Fidelity Evaluation**

### **Ablation Analysis**



## If the generated explanation is **faithful** to the target model, the **prediction variation** should be **small**.

MT Ribeiro, et al. "Why should I trust you? Explaining the predictions of any classifier." KDD, 2016.

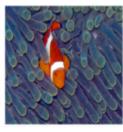
## **Fidelity Evaluation Cases**

# Image Feature flute: 0.9973 flute: 0.0007 Image Feature flute: 0.0007

Fong, Ruth C., et al. "Interpretable explanations of black boxes by meaningful perturbation." ICCV, 2017.

### Training Data

Test image





Koh, Pang Wei, et al. "Understanding black-box predictions via influence functions." ICML, 2017.

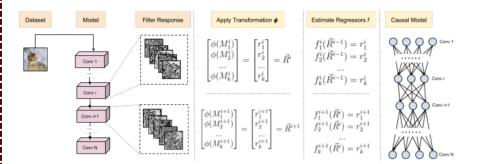
### Text Feature

Positive (99.74%) Occasionally melodramatic, it 's also extremely effective.

Negative (99.00%) Occasionally melodramatic, it 's also terribly effective.

Du, Mengnan, et al. "On attribution of recurrent neural network predictions via additive decomposition." The WebConf, 2019.

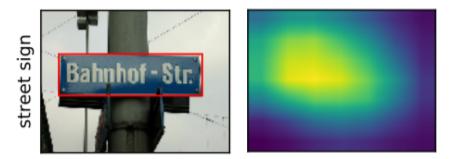
### Model Component



Narendra, Tanmayee, et al. "Explaining deep learning models using causal inference." arXiv, 2018.

## Persuasibility Evaluation with Image Bounding

### Evaluation with Bounding Box



Komodo dragon

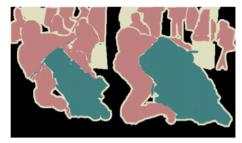


### **Evaluation with Semantic Segmentation**









Fong, Ruth C., et al. "Interpretable explanations of black boxes by meaningful perturbation." ICCV, 2017.

Long, Jonathan, et al. "Fully convolutional networks for semantic segmentation." CVPR, 2015.

## **Persuasibility Evaluation with Text Rationale**

**Evaluation with Text Annotation** 

Task: movie review

Label: negative

The movie is <u>so badly put together</u> that even the most casual viewer may notice the <u>miserable pacing and stray plot threads</u>.

Task: beer appearance

Label: positive

<u>A beautiful beer, coal black with a thin brown head.</u> Extremely powerful flavors, but everything is muted by the intense alcohol. the alcohol is so strong.

Du, Mengnan, et al. "Learning credible deep neural networks with rationale regularization." ICDM, 2019.

## Persuasibility Evaluation with User Study

### **Evaluation with Human-Computer Interaction (HCI)**

The alien's preferences: lazy or nervous → nodding nodding and wearing glasses → clumsy bubbly or clumsy → brave faithful and cold or brave and passive → candy or dairy sleepy or patient and obedient → spices and grains or dai brave and sleepy or patient or laughing → dairy and fruit crying or sleepy and faithful → grains and spices or fruit	ry	Mental Model ?
Observations: patient, wearing glasses, lazy Recommendation: milk, guava	Ingredients: • Vegetables: okra, carrots, spinach • Spices: turmeric, thyme, cinnamon • Dairy: milk, butter, yogurt • Fruit: mango, strawberry, guava • Candy: chocolate, taffy, caramel • Grains: bagel, rice, pasta	User Satisfaction ? User Trust ?
Is the alien happy with the recommended meal? Yes No	Submit Answer	

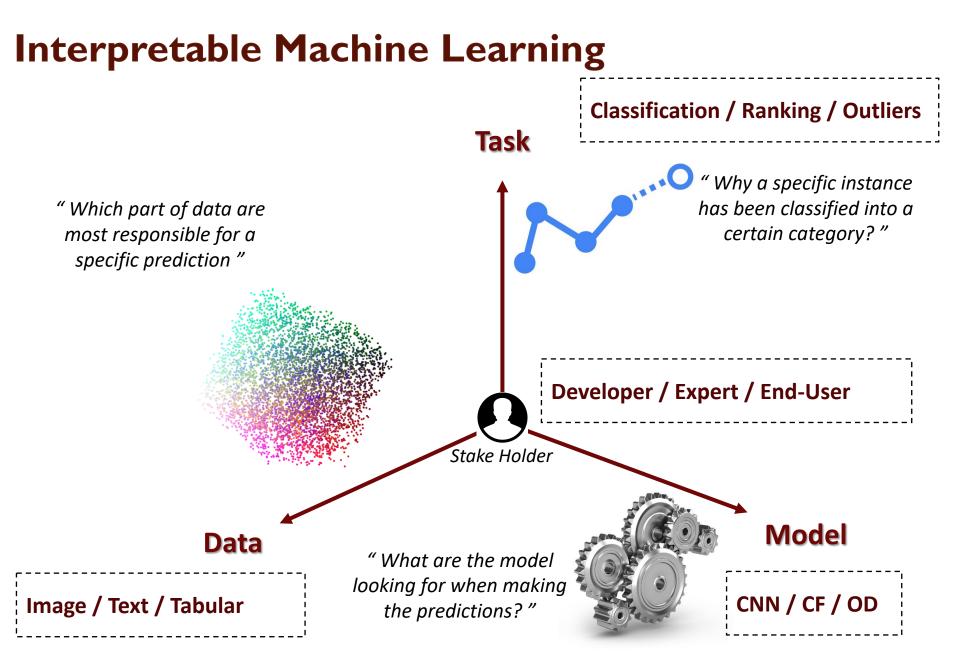
Lage, Isaac, et al. "An evaluation of the human-interpretability of explanation." arXiv, 2019.

## OUTLINE

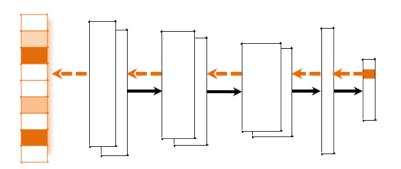
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## **Post-Hoc CNN Interpretation**

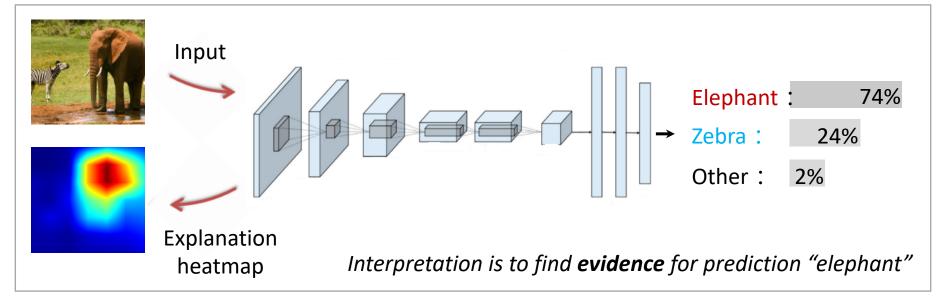


### **Key factors**

- --- A pre-trained DNN and an input instance
- --- The prediction of DNN

### **Post-hoc Interpretation**

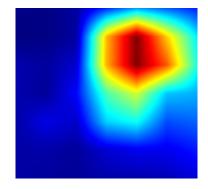
--- Contribution score for each feature in input



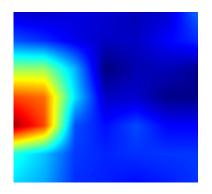
Motivation: Using *deep representations* in intermediate layers to derive interpretations

## Challenges





Elephant



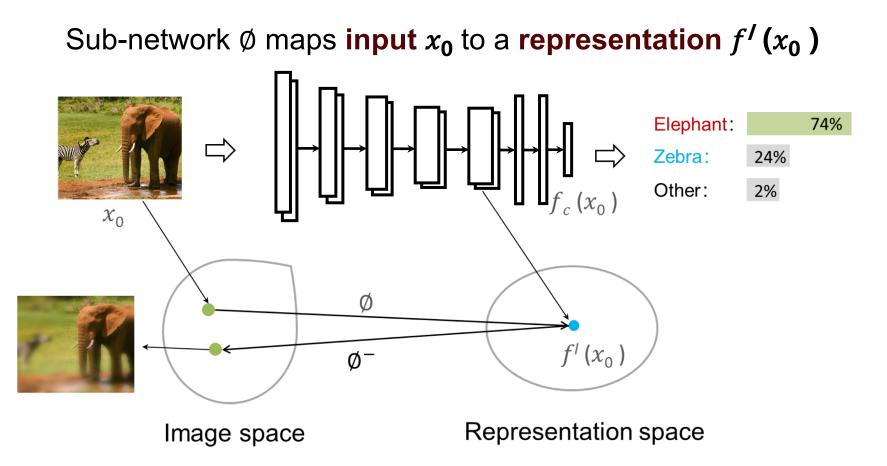
Zebra

How to guarantee that the *interpretations are indeed faithful* to the decision making process of the original CNN model?

### 2

How to generate *class-discriminative interpretation*?

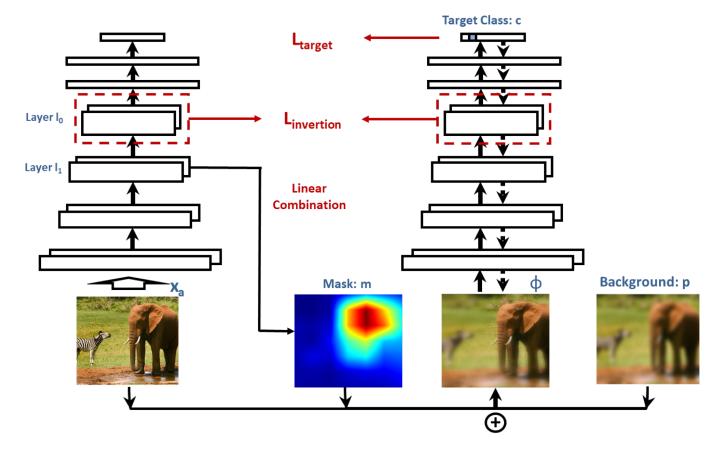
## **Representation Inversion**



Feature inversion to obtain *how much information is preserved* at each inner layer

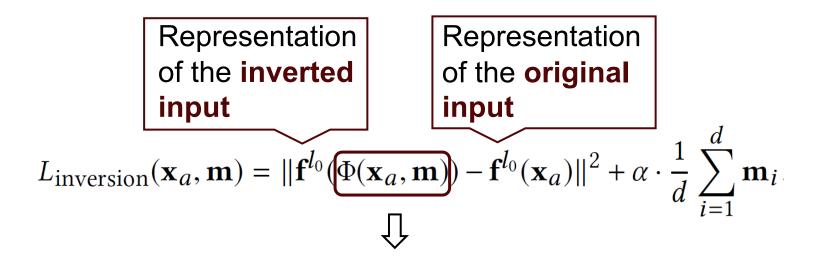
Aravindh Mahendran and etc, "Understanding deep image representations by inverting them". CVPR, 2015.

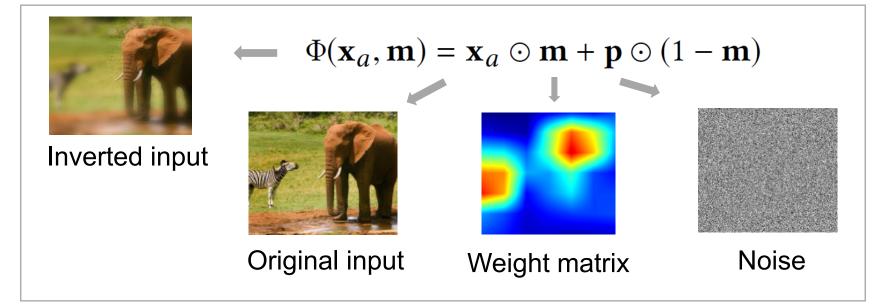
## **The Proposed Model**



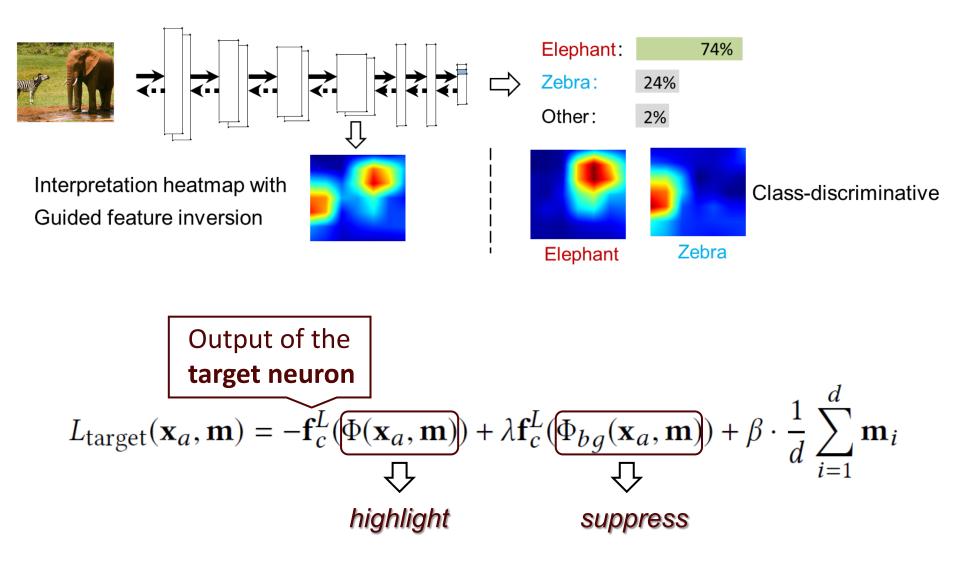
- Guided feature inversion to preserve the object location in a mask
- Model target neuron in output layer to get class-discriminative interpretation
- **Regularization by inner layers** to further reduce artifacts

## **Guided Feature Inversion**





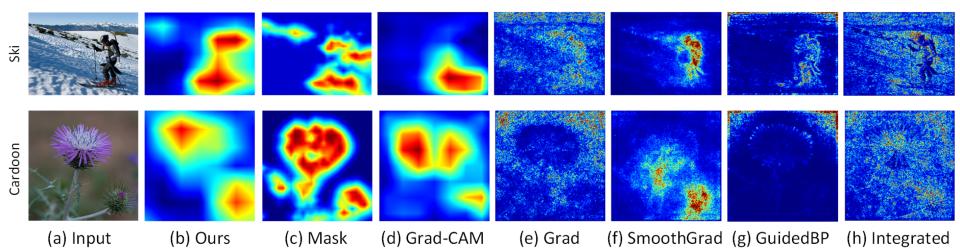
# **Class-Discriminative**



# Accurate Interpretation (1/3)

**Question**: Are the interpretations *accurate*, *class-discriminative* and not *affected by artifacts*?

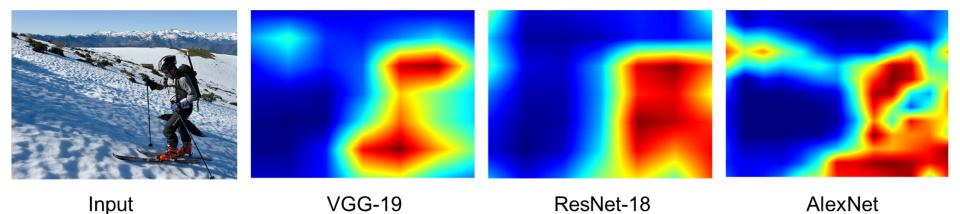
Visualization comparison with 6 state-of-the-art methods



Our interpretation can accurately identify the evidence for prediction

# Accurate Interpretation (2/3)

### Interpretation results for *three DNN architectures*

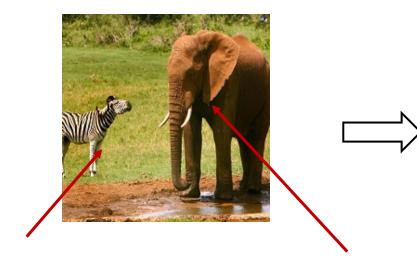


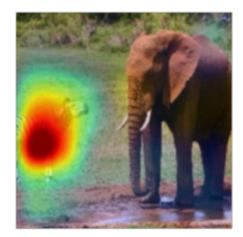
Interpretations help *capture the pros and cons* 

of different network architectures.

# Accurate Interpretation (3/3)

## Visualization for input with multiple foreground objects





"zebra"

## "elephant"

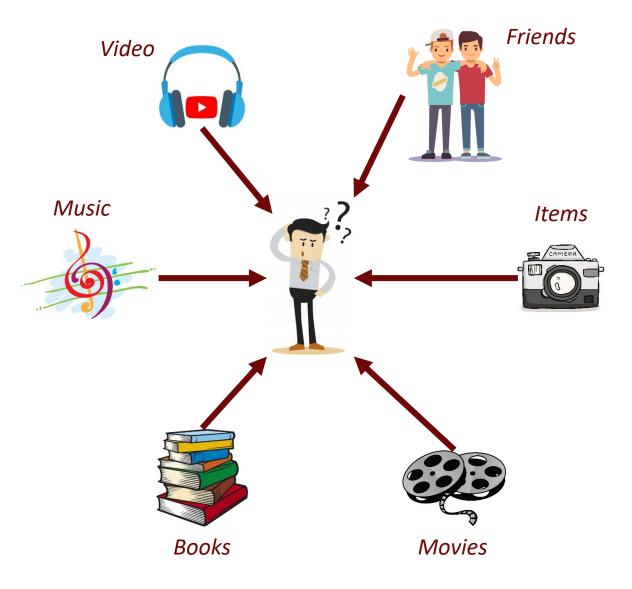
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# Why Interpretations for RecSys



Having deeper insights into RecSys may benefit from

multiple ways:

#### For Customers ----

- Identify personal needs
- Facilitate decisions

### For Vendors ----

- Make good strategies
- Choose effective target

### For Deployers ---

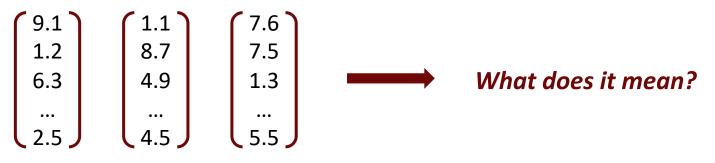
- Debug the system
- **Refine** the system

# Challenges

2

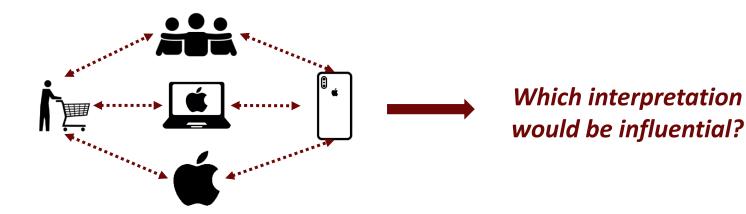
1 The latent factors of users and items learned by recommender

systems are simply the **uninterpreted vectors** to humans.



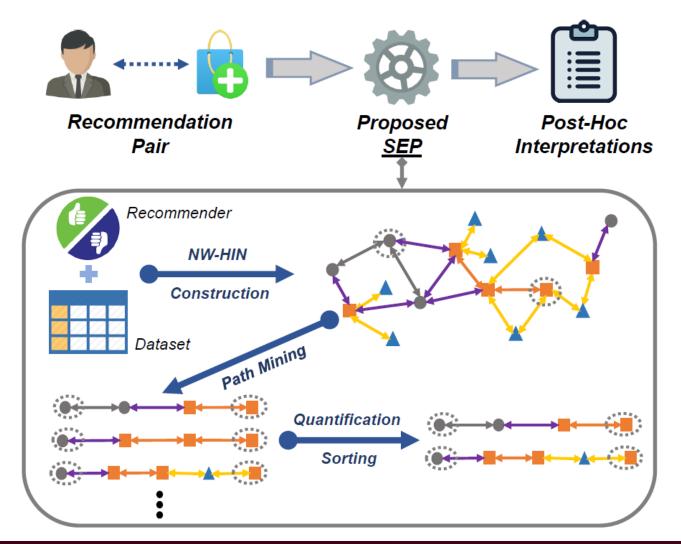
The possible interpretations for each recommendation can be

rather diversified, and appropriate selections would be difficult.



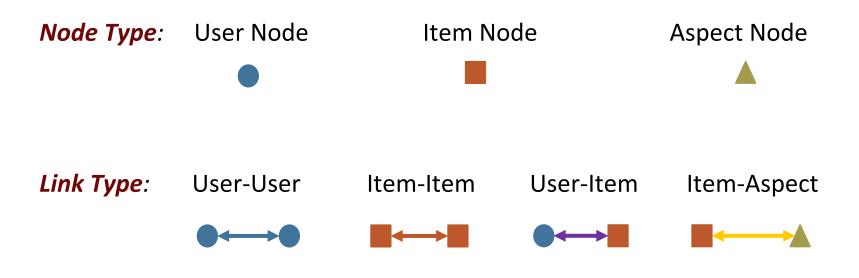
## **Proposed Framework**

### SEP → Sorted Explanation Path



# **HIN Components**

## **Our Constructed HIN Structure ---**

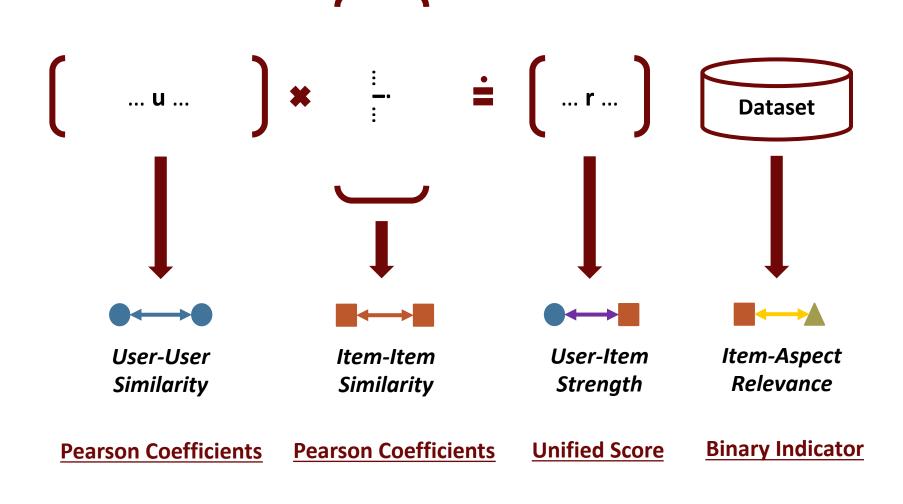


**Network Schema**:

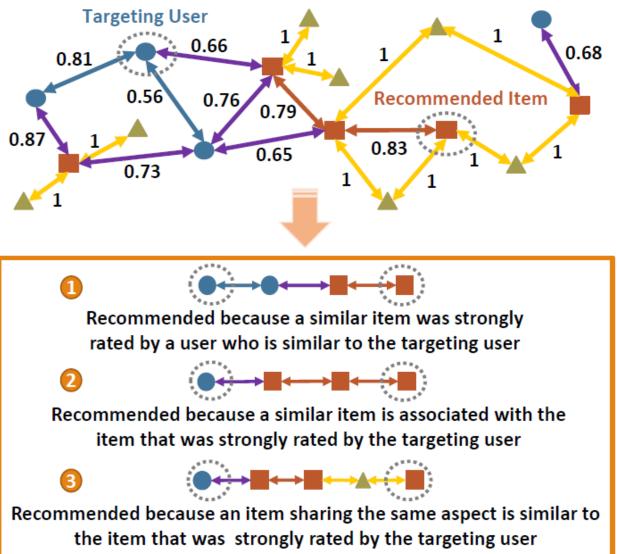


# **HIN Construction**

### Latent-Factor Recommender System ---



# **Explanation Path Mining**



To keep the process
effective and efficient, we
conduct the mining based
on a *depth-first-search*based algorithm with *constraints on weight and length thresholds*

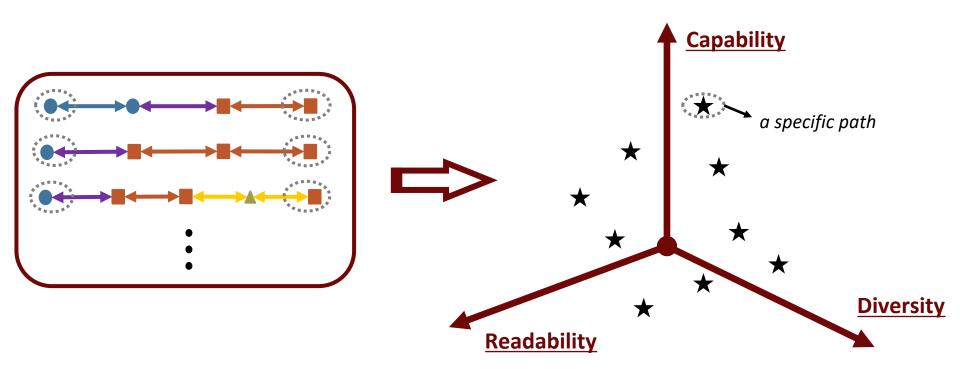
# **Path Quantification**

For each explanation path k, we have  $\rightarrow$ 

$$\mathbf{k} = [Q^C(k), Q^R(k), Q^D(k)]^{\mathrm{T}}$$

**Candidate Path Set** 

Candidate Ranking Space



# **Experimental Designs**

## We use the model built by Non-negative Matrix Factorization (NMF) as the targeting recommender systems

## Applicability

1

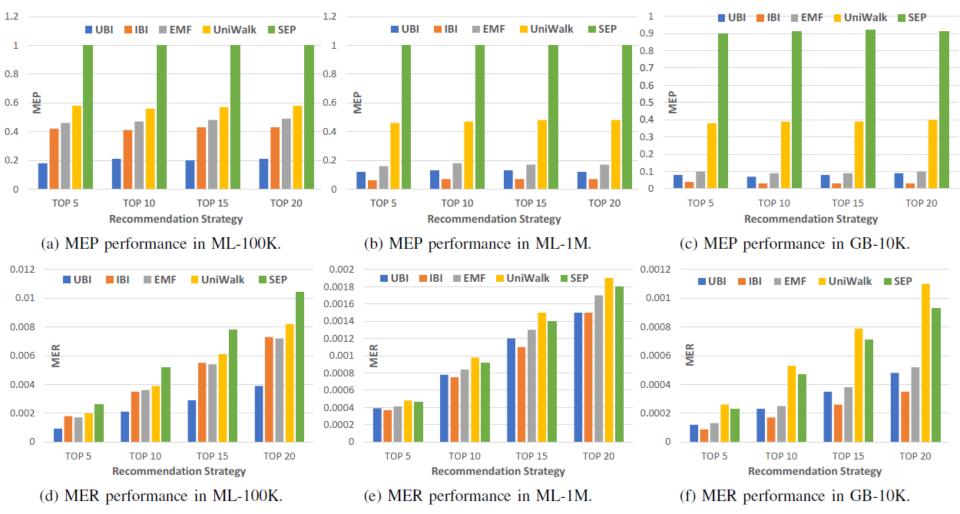
Mean Explainability Precision (MEP) & Mean Explainability Recall (MER)

$$\text{MEP} = \sum_{u \in \mathcal{U}} \frac{|\mathcal{I}_u^{ir}|}{|\mathcal{I}_u^r|} \middle/ |\mathcal{U}| , \quad \text{MER} = \sum_{u \in \mathcal{U}} \frac{|\mathcal{I}_u^{ir}|}{|\mathcal{I}_u^i|} \middle/ |\mathcal{U}|$$

## 2 Effectiveness

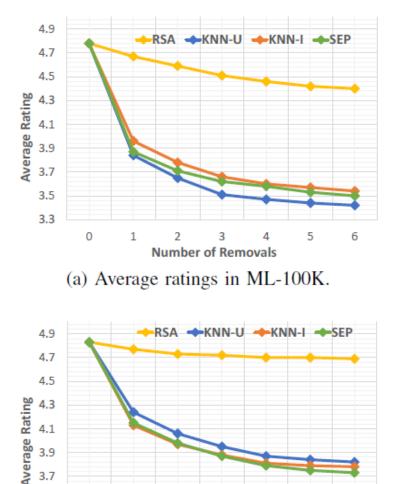
We knock out from training data the objects that appear in the interpretation results, and then retrain the whole system with the modified training data.

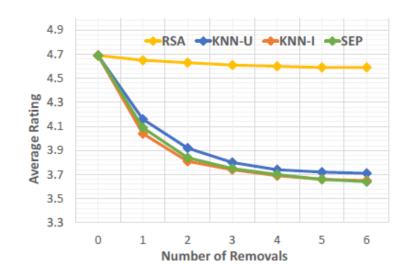
# **Applicability**



The proposed SEP method is **superior in MEP performance**, and somewhat **competitive in MER performance** 

## **Effectiveness**





(b) Average ratings in ML-1M.

The interpretations generated from SEP method are **influential to the** targeting recommender system, which indicates the effectiveness of the proposed method.

(c) Average ratings in GB-10K.

2

3

Number of Removals

5

6

4

1

0

4.1

3.9 3.7

3.5 3.3

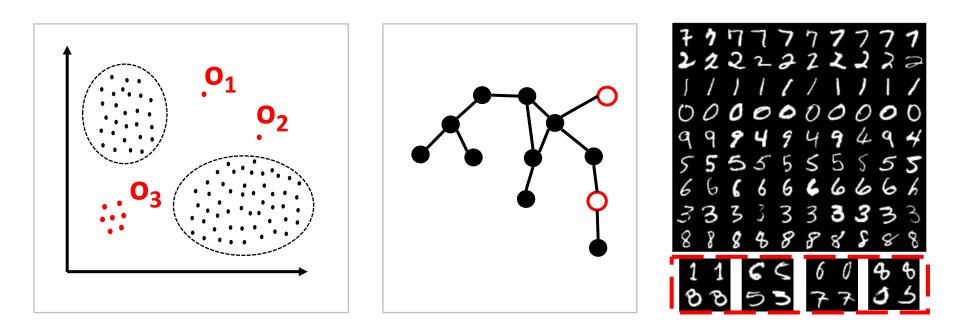
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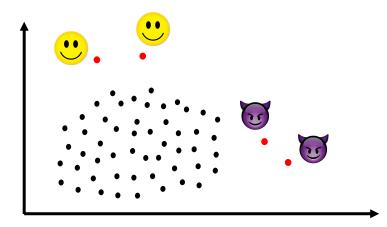
## What are Outliers?



The noteworthy objects with patterns or behaviors that significantly deviate from the chosen background (or context)

# Why is Interpretation Needed?

- Hard to tell whether the detected outliers are relevant to the application scenario
- Existing metrics such as ROC AUC and nDCG are unstable or limited in measuring the performance
- Outlier Detection for UnitedHealthcare



# **Key Factors for Outlier Interpretation**

• The definition of interpretation for outlier detection.

• The design of a model-agnostic interpretation framework.

• Identification of application-specific anomalies by utilizing interpretation with human prior knowledge.

# **Definition of Interpretation**

Given a dataset  $\mathbf{X} = {\mathbf{x}_n}$  and the detected outlier set  $\mathfrak{O}$ , the interpretation for each outlier  $\mathbf{o}_i \in \mathfrak{O}$  is defined as:

 $\{\mathcal{A}_i, d(\mathbf{o}_i), \mathcal{C}_i = \{\mathcal{C}_{i,l} | l \in [1, L]\}\}$ 

where

 $C_i$ : the context (e.g., k-nearest normal neighbors) of the outlier;

 $\mathcal{C}_{i,1}, \mathcal{C}_{i,2}, ..., \mathcal{C}_{i,L}$ ; identified from the context;

 $\mathcal{A}_i$ : the set of outlying attributes;  $d(\mathbf{o}_i) \in \mathbb{R}_{\geq 0}$  : outlierness score.

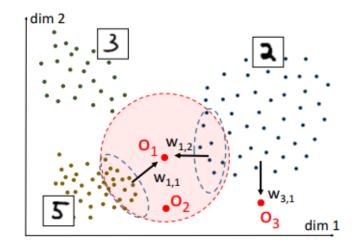
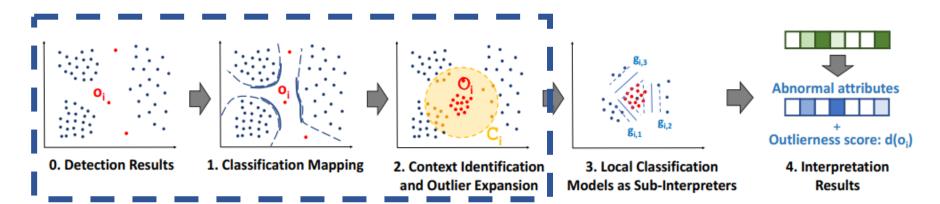


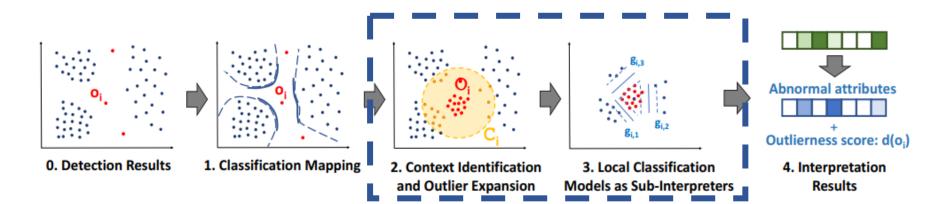
Figure 1: An toy example explaining why context clustering is needed

# **Proposed Framework**



- h : The given outlier detector.
- There could be an imaginary classification boundary, denoted by  $f_{\rm c}$ , to separate outliers from normal instances.
- We use f to interpret h:

# **Proposed Framework**



Classification error between  $\mathbb{C}_i$  and  $\mathbb{O}_i$ 

$$P^{err}(\mathcal{O}_{i}, \mathcal{C}_{i}) = P(\mathcal{O}_{i}) \int_{\mathcal{C}_{i}} p(\mathbf{x}|\mathcal{O}_{i}) d\mathbf{x} + P(\mathcal{C}_{i}) \int_{\mathcal{O}_{i}} p(\mathbf{x}|\mathcal{C}_{i}) d\mathbf{x}$$

$$\approx \left(\sum_{l \in [1,L]} P(\mathcal{O}_{i}) \int_{\mathcal{C}_{i,l}} p(\mathbf{x}|\mathcal{O}_{i}) d\mathbf{x}\right) + \left(\sum_{l \in [1,L]} P(\mathcal{C}_{i,l}) \int_{\mathcal{O}_{i}} p(\mathbf{x}|\mathcal{C}_{i,l}) d\mathbf{x}\right)$$

$$= \sum_{l \in [1,L]} \left(P(\mathcal{O}_{i}) \int_{\mathcal{C}_{i,l}} p(\mathbf{x}|\mathcal{O}_{i}) d\mathbf{x} + P(\mathcal{C}_{i,l}) \int_{\mathcal{O}_{i}} p(\mathbf{x}|\mathcal{C}_{i,l}) d\mathbf{x}\right)$$

$$\approx \sum_{l \in [1,L]} P^{err}(\mathcal{O}_{i,l}, \mathcal{C}_{i,l}).$$

$$g_{i,l}$$

$$G_{i,l}$$

Local classification error between  $C_{i,l}$  and  $O_{i,l}$ 

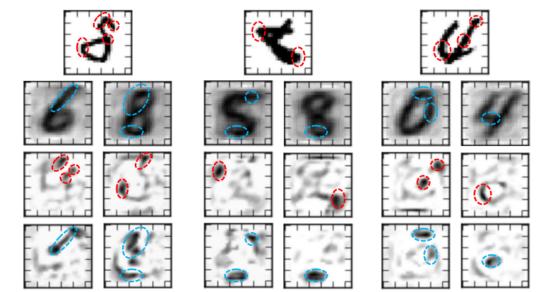
## A Case Study

**Query Outliers** 

Context Clusters (Two for each query)

Positive Outlying Regions

Negative Outlying Regions



# OUTLINE

- **1** Introduction to Interpretable Machine Learning
- 2 Interpretable Deep Learning
- **3 Evaluation of Interpretation**

## 4 Applications To Four Domains

- Explaining CNN for Image Classification
- Explaining Recommender System
- Explaining Outlier Detection System
- Demo for Interpretable Fake News Detection

# **Interpretable Fake News Detection**



## **Challenges:**

### Beyond Text Classifications ----

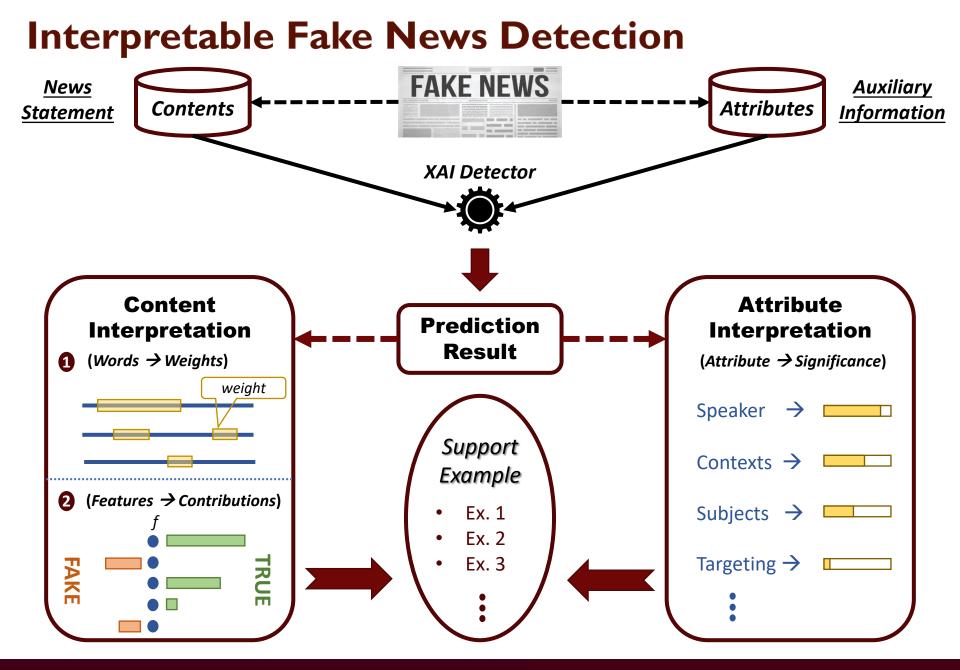
More challenging given heterogeneous types of information

Hard to Achieve Effective Interpretations ----

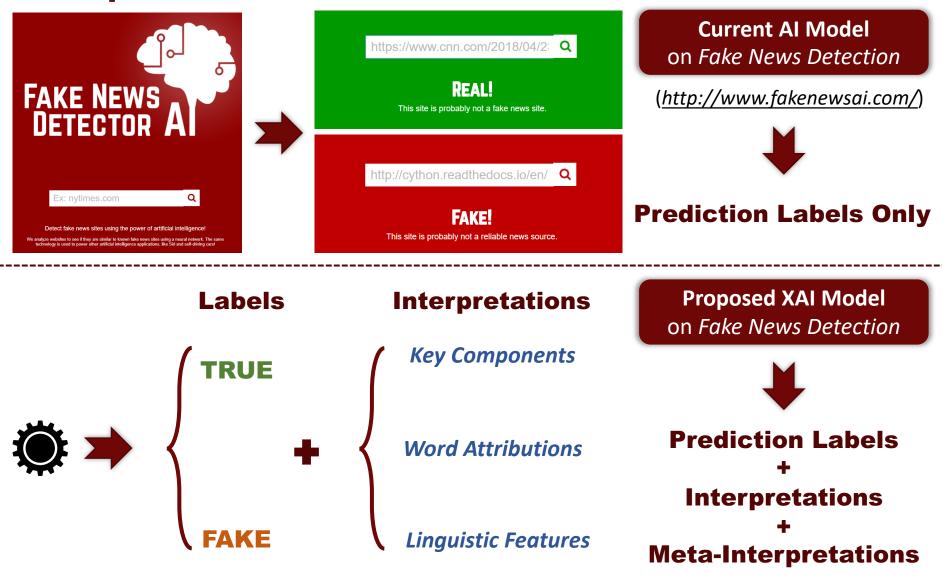
Various aspects including the person, the statement or the other contexts

### Beyond the News Itself ----

Further supports are needed to convince people about the interpretations



# **Interpretable Fake News Detection**

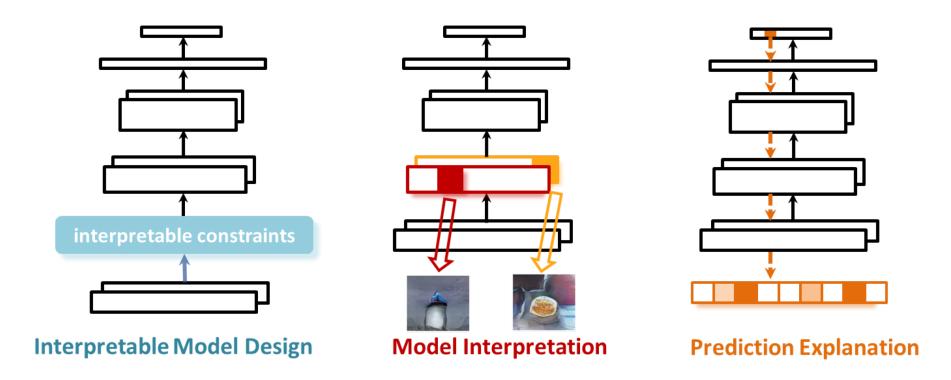


## **Demo for Interpretable Fake News Detection**

### Available at: <a href="http://csedatasrv.cs.tamu.edu:3001">http://csedatasrv.cs.tamu.edu:3001</a>

Home	Mimic Model View			Texas A&M University
	Enter News Article:	Attribute Analysis:	Statement Analysis:	
Subject	Insert subject		1-gram 2-grams 3-grams Linguistic Analysis	
Context	Insert context			
Speaker	Insert speaker name		Supporting News: Mimic Model	Deep Model
Targeting	Insert target			
Statement	Insert statement.			
		Result:		
	Random News Clear Submit			
	True Examples			
	Fake Examples			

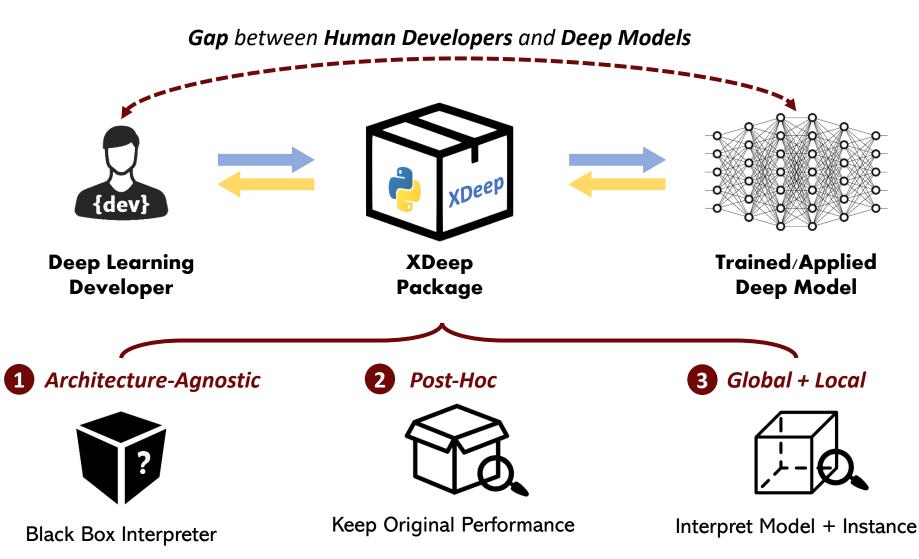
# **A Recent Survey**



Mengnan Du, Ninghao Liu and Xia Hu. Techniques for Interpretable Machine Learning, CACM, 2020.

# **XDeep**

### --- A Python Package for Interpretable Deep Learning



# A Long Way to Go

<

#### Tweet



Geoffrey Hinton @geoffreyhinton

Suppose you have cancer and you have to choose between a black box Al surgeon that cannot explain how it works but has a 90% cure rate and a human surgeon with an 80% cure rate. Do you want the Al surgeon to be illegal?





V

Yann LeCun February 5 at 06:35 • 🔇

A good example is how a wing causes lift. The computational fluid dynamics model, based on Navier-Stokes equations, works just fine. But there is no completely-accurate intuitive "explanation" of why airplanes fly.

...

Is it because of Bernoulli principle?

Because a wing deflects the air downwards? Because the air above the wing want to keep going straight but by doing so creates a low-pressure region above the wing that forces the flow downwards sucks the wing upwards?

All of the above, but none of the above by itself.

Now, if there ever was a life-critical physical phenomenon, it is lift production by an airliner wing. But we don't actually have a "causal" explanation for it, though we do have an accurate mathematical model and decades of experimental evidence.

You know what other life-critical phenomena we don't have good causal explanations for? The mechanism of action of many drugs (if not most of them).

An example? How does lithium treat bipolar disorder? We do have considerable empirical evidence provided by extensive clinical studies.

This is not to say that causality is not an important area of research for AI.

 It is

 But sometimes, requiring explanability is counterproductive.

 O

 Write a comment...

# **Interpretable Machine Learnin**

- Model-agnostic explanation
  - Broadly applicable to various machir
  - Treating a model as a black-box
  - Does not inspect internal model para
- Model-specific explanation
  - Specifically designed for each model
  - Usually require examining internal str

🔒 arxiv.org

Statistics > Machine Learning

Stop Explaining Black Box Machine Learning Models for High Stakes Decisions and Use Interpretable Models Instead

#### Cynthia Rudin

(Submitted on 26 Nov 2018 (v1), last revised 22 Sep 2019 (this version, v3))

Black box machine learning models are currently being used for high stakes decisionmaking throughout society, causing problems throughout healthcare, criminal justice, and in other domains. People have hoped that creating methods for explaining these black box models will alleviate some of these problems, but trying to \textit{explain} black box models, rather than creating models that are \textit{interpretable} in the first place, is likely to perpetuate bad practices and can potentially cause catastrophic harm to society. There is a way forward -- it is to design models that are inherently interpretable. This manuscript clarifies the chasm between explaining black boxes and using inherently interpretable models, outlines several key reasons why explainable black boxes should be avoided in

9

# Human-Centric Machine Learning



How to enable *interpretable* and *Interactive* machine learning?



How to enable *automated* knowledge discovery and learning?

Interpretable Machine Learning (IML)

**>>** 

Provide explanations for human to <u>easily understand</u> the system Automated Machine Learning ( AutoML )

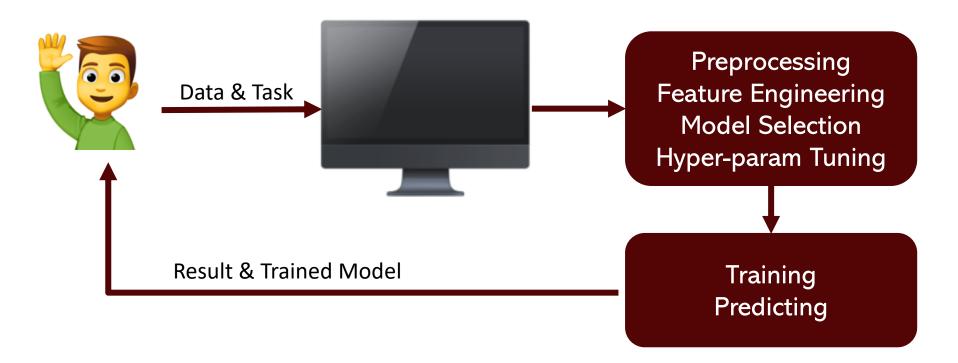


Provide convenience for human to *easily build* the system

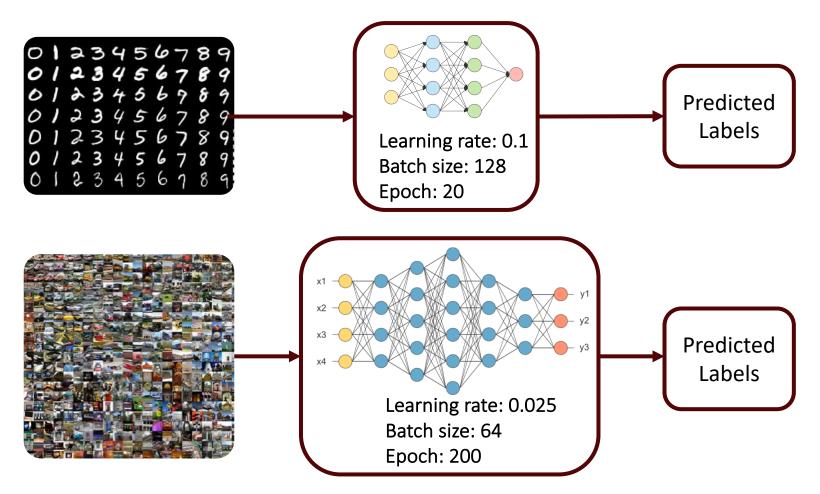
# What is AutoML

Make machine learning an *accessible tool* ----

- to domain experts and data scientists
- by automating the end-to-end process from data to the result.



# **Automated Deep Learning**



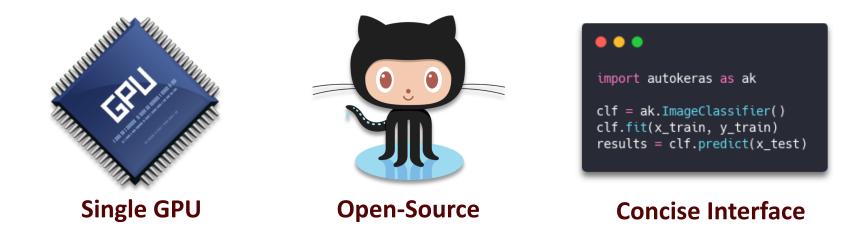
Given a dataset, find the *best neural architecture and hyper-params* 

and *produce the prediction results*.



• Watch	294	★ Star	6.6k	¥ Fork	1.1k	
🕝 663 comr	nits	S 31 releases		44 contributors		
build passing	🕽 code qua	ality A cove	erage 94%	pypi packag	ge 0.3.7	

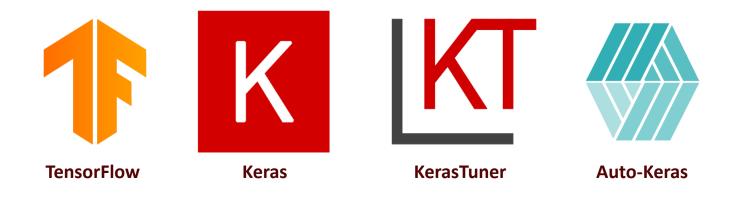
- We developed an AutoML System named Auto-Keras;
- It provides *easy-to-use solutions* to deep-learning tasks;



• Visit <u>www.autokeras.com</u> for more information.

# AutoKeras: an Open-Source AutoML System

## **Machine Learning Platform Ecosystem**



### **A Spectrum of Platform APIs**

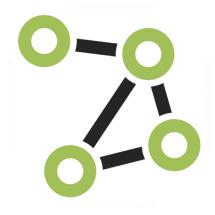
**Configurable** 

Simple

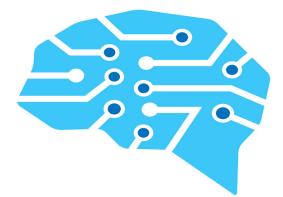
# Data Analytics at Texas A&M (DATA) Lab



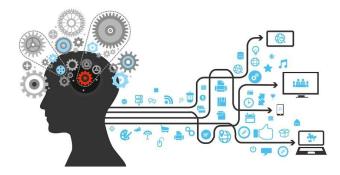
## **Interpretable Machine Learning**



## **Network Analytics**



## **Automated Machine Learning**



## **Data Mining for Social Good**

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